

Docket No. 0756-2028

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Art Unit: 2673  
Ichiro TAKAYAMA et al. ) Examiner: R. Osorio  
Serial No. 09/394,345 )  
Filed: September 13, 1999 ) VIA FACSIMILE (703) 872-9306  
For: ACTIVE MATRIX TYPE FLAT- )  
PANEL DISPLAY DEVICE )

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CERTIFICATION OF FACSIMILE TRANSMISSION  
PART 1 OF 4


I hereby certify that the items listed below are being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

1. Interview Summary (2 pages)
2. Copy of a Transmittal Form/Fee Transmittal, a Petition to Withdraw from Issue, a Request for Continued Examination (RCE), an Information Disclosure Statement (IDS), a PTO Form 1449, and Check No. 3648 in the amount of \$920 filed October 26, 2004, and Stamped Receipt Card noting filing of same (9 pages)
3. Copy of Decision on Petition from Office of Petitions dated October 27, 2004 (1 page).
4. Copy of Decision on Petition from Office of Petitions dated October 27, 2004 (1 page).

TOTAL PAGES: 13

Eric J. Robinson, Esquire

Name of Person Signing Certification

  
\_\_\_\_\_  
SignatureMarch 28, 2005

Date

- 1 -

Docket No. 0756-2028

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Art Unit: 2673  
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PANEL DISPLAY DEVICE )  
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MAR 29 2005

INTERVIEW SUMMARY

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner is thanked for his continuing efforts to resolve the various issues in the present application in telephone conversations held September 15, 2004, October 1, 2004, October 18, 2004, November 3, 2004, November 15, 2004, November 29, 2004, December 28, 2004, January 5, 2005, January 26, 2005, February 9, 2005, and March 8, 2005. The attached summary summarizes the issues to date.

1. On July 14, 2004, a *Request for Removal of Priority Claim and Request for Corrected Filing Receipt and Supplemental Declaration and Power of Attorney*, were filed (received by OIPE on July 19, 2004, and resubmitted by Applicants on September 22, 2004). The *Requests and Supplemental Declaration* have been scanned into the Image File Wrapper (IFW) and bear the date "07/19/2004." Therefore, the Applicants respectfully request entry and consideration of the *Requests and Supplemental Declaration*.

2. On October 26, 2004, a Transmittal Form/Fee Transmittal, a *Petition to Withdraw from Issue*, a Request for Continued Examination (RCE), an Information Disclosure Statement (IDS), a PTO Form 1449 with copies of references listed thereon, and Check No. 3648 in the amount of \$920 were filed. In response thereto, the Office of Petitions sent a *Decision* granting the Petition. According to Examiner Osorio, EDAN does not show any of the above-referenced documents, including the *Decision* granting

- 2 -

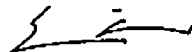
Docket No. 0756-2028  
Application Serial No. 09/394,345

the Petition. Examiner Osorio made several attempts to locate the above-referenced documents from sources within the Patent Office but was unable to do so. In a telephone conversation on March 8, 2005, Examiner Osorio suggested that Applicants resubmit the above-referenced documents via facsimile to the centralized fax number, (703) 872-9306. Accordingly, the Applicants have attached copies of the above-referenced documents and a copy of a stamped received post card from the Office of Petitions indicating the date of receipt on October 26, 2004. As it appears that the Petition has already been granted, the Applicants respectfully request that the *Decision* on Petition and RCE be matched with the file. The Applicants further request consideration of the IDS filed October 26, 2004. It is noted that the reference to JP 61-052631 has been crossed through by the Applicants since a full translation of JP '631 was submitted with the IDS filed November 8, 2004. It is respectfully submitted that the above-referenced IDS was properly filed on October 26, 2004, and should be accorded its filing date for the purposes of consideration and compliance with 37 CFR §§ 1.97 and 1.98.

3. On November 8, 2004, an Information Disclosure Statement was filed (received by OIPE on November 10, 2004). The IDS has been scanned into the Image File Wrapper (IFW) bearing the date "11/10/2004." Therefore, the Applicants respectfully request entry and consideration of the IDS filed November 8, 2004.

Should the Examiner believe that anything further would be desirable to place this application in better condition for allowance, the Examiner is invited to contact the undersigned at the telephone number listed below.

Respectfully submitted,



---

Eric J. Robinson  
Reg. No. 38,285  
Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

This will acknowledge receipt of the following:

1. Transmittal Form/Fcc Transmittal (2 copies)
2. Petition to Withdraw from Issue (2 copies)
3. RCE (2 copies)
4. Information Disclosure Statement
5. PTO Form 1449 with copy of references listed thereon
6. Check No. 3448 in the amount of \$920 (\$790 rce; \$130 petition)

Un re PATENT application of:

ICHIRO TAKAYAMA et al

Serial No. 09/394,345

Filed: 09/13/1999

Due Date: 10/26/2004

For: ACTIVE MATRIX TYPE FLAT-PANEL DISPLAY DEVICE

Docket: 0756-2028

10-26-04

EJR/EJR/ams

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11/19/04

U.S. SERIAL NO. 09/374,345  
FILED SEPTEMBER 13, 1999  
ACTIVE MATRIX TYPE FLAT-PANEL DISPLAY DEVICE  
ICHIRO TAKAYAMA ET AL.  
DOCKET NO.: 0756-2028

**SPECIAL PROCEDURES  
SUBMISSION**

**PETITION TO WITHDRAW FROM  
ISSUE**

**DELIVER TO:**

**Office of Petitions  
U.S.P.T.O.**

**PLEASE FAX COPY OF DECISION ON PETITION  
TO 571-434-9499**

**COPY**

This will acknowledge receipt of the following:

1. Transmittal Form/Fee Transmittal (2 copies)
2. Petition to Withdraw from Issue (2 copies)
3. RCE (2 copies)
4. Information Disclosure Statement
5. PTO Form 1449 with copy of references listed thereon
6. Check No. 3648 in the amount of \$920 (\$790 rce; \$130 petition)

Un re PATENT application of:

ICHIRO TAKAYAMA et al  
Serial No. 09/394,345  
Filed: 09/13/1999  
Due Date: 10/26/2004  
For: ACTIVE MATRIX TYPE FLAT-PANEL DISPLAY DEVICE  
Docket: 0756-2028  
10-26-04  
EJR/EJR/ams

**RIPLO**

ROBINSON INTELLECTUAL  
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PMB 955 21010 SOUTHBANK STREET  
POTOMAC FALLS, VA 20165  
(571) 434-6789

SUNTRUST BANK  
PO BOX 85024  
RICHMOND, VA 23285  
65-270/550

3648

10/26/2004

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Commissioner of Patents

\$ 920.00

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0756-2028; SN 09/394,345

MEMO

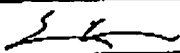
⑈003648⑈ ⑆055002707⑆ ⑆000002581295⑈

**COPY**

PTO/SB/21 (08-00)

<b>TRANSMITTAL FORM</b>  <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/394,345
	Filing Date	September 13, 1999
	First Named Inventor	Ichiro TAKAYAMA et al.
	Group Art Unit	2673
	Examiner Name	R. Osorio
Total Number of Pages In This Submission	Attorney Docket Number	0758-2028

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Declaration and Power of Attorney <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosures 1. RCE 2. Petition to Withdraw From Issue 3. 4. 5. 6.
Remarks <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees required or credit any overpayments to Deposit Account No. 50-2280 for the above identified docket number.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Eric J. Robinson, Reg. No. 38,285 Robinson Intellectual Property Law Office, P.C. PMB 955 21010 Southbank Street Potomac Falls, VA 20165
Signature	
Date	10-26-04

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.			
Type or printed name			
Signature		Date	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETION INFORMATION TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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PTO/SB/17 (10-04v2)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL FOR FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant Claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT (\$ 920.00)

## Complete if Known

Application Number 09/394,345  
 Filing Date September 13, 1999  
 First Named Inventor Ichiro TAKAYAMA et al.  
 Examiner Name R. Osorio  
 Group Art Unit 2673  
 Attorney Docket No. 0756-2028

## METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

50-2280

Deposit  
Account  
NameRobinson Intellectual Property  
Law Office

- ☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17 and  
credit overpayments

- ☐ Applicant claims small entity status.  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

- ☒ Check ☐ Credit Card ☐ Money  
Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims  -20\*\* -  X  \$18 =

Independent Claims  -3\*\* -  X  \$88 =

Multiple Dependent  =

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1202	18	2202	9	Claims in excess of 20	
1201	88	2201	44	Independent claims in excess of 3	
1203	300	2203	150	Multiple dependent claim, if not paid	
1204	88	2204	44	** Reissue independent claims over original patent	
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	\$130.00
1807	50	1807	50	Processing fee under 37 CR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.29(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	\$790.00
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 920.00)

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## SUBMITTED BY

Name (Print/Type)

Eric J. Robinson

Signature

Registration No.  
(Attorney/Agent)

38,285

## Complete (if applicable)

Telephone

(571) 434-6789

Date

/0-26-04

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of ) Art Unit: 2673  
Ichiro TAKAYAMA et al. ) Examiner: R. Osorio  
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PETITION TO WITHDRAW FROM ISSUE

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

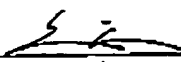
Applicant hereby petitions that the subject application be withdrawn from issue to permit consideration of an Information Disclosure Statement under 37 CFR 1.97 (submitted herewith) and a Request for Continued Examination (RCE) (also submitted herewith).

Also submitted herewith is the requisite fee of \$130.00 set forth in 37 CFR 1.17(i).

In view of the foregoing, it is urged that this petition is in order and, accordingly, a prompt grant thereof is requested.

The Commissioner is hereby authorized to charge any fees which may be further required in this application, except the issue fee, or credit any overpayment to Deposit Account No. 50-2280, under the above order number. A duplicate of this sheet is attached.

Respectfully submitted,

  
Eric J. Robinson  
Reg. No. 38,285  
Robinson Intellectual Property Law Office, P.C.  
PMB 955  
21010 Southbank Street  
Potomac Falls, Virginia 20165  
(571) 434-6789

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